

Why-Because Analysis of the Accident off Sochi to Armavia Flight 967

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The results of the Why-Because Analysis performed by the authors on the CFIT accident to Armavia Flight 967 off Sochi, Russia on 3 May 2006 are contained in the accompanying document Incident Analysis, which is automatically generated by Causalis's YBT2 software from manual data inputs.

The labels on the nodes of the WB-Graph are identical to the numbers and labels appearing in the Factor List, and the labels identical to those appearing in the Time Line. The Actors appearing in the Factor List are identical to the Actors appearing in the Time Line. These identities are assured by the YBT2 software with which the Incident Analysis has been prepared.

The Factor List has been generated directly from the BEA translation of the MAK report, supplemented by details from the graphical appendices to the MAK report, which we have translated in-house. This includes our translation of cockpit voice items along with their time line during the final moments of the flight, which are annotated on a track map in a graphical appendix. Factors supplemental to those taken directly from the MAK report have been introduced in order to make the resulting WB-Graph cognitively more coherent; this is a standard procedure in Why-Because Analyses to aid visual interpretation.

This report assumes a technical understanding of Why-Because Analysis, especially the notions of *necessary causal factor*, the *Counterfactual Test* which is used to establish whether one factor is a necessary causal factor of another, and the *Causal Completeness Test* to determine whether a nominally sufficient set of necessary causal factors has been enumerated for a given factor.

Because the pilots are no longer alive and may not be asked, many of the nodes describing their cognitive states are denoted as "assumptions" in the WB-Graph. However, many of them may be inferred as

- the only plausible explanations for the phenomena for which they are shown as necessary causal factors in the WB-Graph, and
- following plausibly from the factors which are shown as their necessary causal factors in the WB-Graph

There is one exception. Node 184, *F/O* wants wings level, which is established as fact through the F/O's remark to that effect in the cockpit voice recording. This analysis assumes throughout that the crew were mentally stable and attempting to achieve the progressively required states of flight.



The WB-Analysis shows three main areas of cohering causal factors:

- 1. The initial interaction with the AP leading to node 89, CRW did not expect AC behavior they experienced. It is apparent from the Why-Because Analysis that in this phase a complicated series of interactions with the automation took place, which is complex to analyse and, we judge, would have been cognitively complex to experience. The result of this complex interaction was that the crew felt the need to turn off the autopilot, and did so. However, this turned out to be a situation in which they would have been best served had they suppressed their uncertainty and maintained their reliance on the autopilot in GO-AROUND mode.
- Their reaction to this situation, which was to turn off the AP leaving the Flight Director as the sole indication of how they were to achieve their desired flight state, supplemented by primary flight data (combined on the PFD), namely instantaneous attitude, altitude and airspeed, and the consequences of this.

In this situation, there is a major question to explain why the captain made a forward stick input in a condition of shallow pitch angle, when the Flight Director indicated climb, and the crew were trying to gain altitude. The only plausible explanation of this phenomenon under the mental-stability assumption is that there was an indication that the aircraft was already climbing. This would have been an illusion. Such an illusion could be generated in the captain as the Flight Director reduced its indicated pitch-up angle from 8 degrees to 4 degrees, suggesting by its relative motion that the aircraft was pitching up (the illusion), but in fact in response to the reduced altitude target from the inadvertently entered 3,200 ft (node 72) to 2,048 ft (node 100). The question of how node 72 itself arose. CRW inadvertently select 3.200 ft target altitude is not addressed in the MAK report. The BEA states this was accomplished "for no particular reason" (BEA commentary in the Appendix). We judge, rather, that this means that neither the MAK nor the BEA could find a reason. It is possible that such a reason lies in the three-fold function of the Altitude Selector Knob. which has a turning motion to set altitude as well as pulled to engage Open Climb mode and pushed for Climb Mode (Managed). It is possible that the crew inadvertently twisted this Knob as they pulled to engage Open Climb mode, and this twist entered inadvertently a higher altitude than desired as Open Climb mode was engaged.

The crew did not succeed in following the Flight Director commands in this brief phase. The result of their actions was to put the aircraft on a descending trajectory.

3. The EGPWS warning was thereby triggered (node 187). At this point, a potentially complex series of cognitive interactions seems to have taken place in a very brief time interval. SOP for an EGPWS warning is a Memory Item, and consists of Autopilot off (the autopilot was already off), full-back stick, wings level, TOGA thrust (FCOM 3.02.34 P 15). At this point, the Pilot Monitoring called for wings level and appeared to make side stick inputs to make this happen, although he was not the



Pilot Flying. In this situation, there are dual flight control inputs, which according to the aircraft's logic are summed in order to generate one coherent flight control input. However, there is no tactile feedback to the sidestick which indicates that there is a contrary command, as there would be on mechanically-interconnected flight control columns. It is against SOP for the Pilot Monitoring (PM) to make control inputs of this nature, except in circumstances in which heshe must assure the safety of flight, which in the case of an EGPWS warning is indeed such a situation. However, the PM did not press the takeover button to assure single-sidestick input.

In this situation, the EGPWS warning suppressed the aural "DUAL INPUT" warning by design. The only indication to the Pilot Flying that the PM was making flight control inputs would have been the green flashing warning light on the glareshield. In an emergency situation, as they were in, the meaning of this flashing light may well not have registered on the Pilot Flying. Furthermore, he would not have experienced the aircraft behavior that he was thinking to command, but rather a somewhat different behavior caused in part by the dual sidestick inputs and their addition in the flight control logic. This sequence may well have resulted in further cognitive confusion in an emergency situation in which clarity of thought and action was called for, in a very short time frame (some few seconds).

For reasons of visual clarity, we have suppressed in the WB-Graph the design of the various pieces of equipment as causal factors, even though the design of each piece of equipment is obviously a necessary causal factor in the (desired, as well as undesired) effects of its use. Some comments are therefore appropriate here on the overall potential contribution of design. The pieces of equipment that played a leading role in the interaction with the pilots in the Why-Because Analysis are

- Autopilot (AP)
- Flight Director (FD)
- Enhanced Ground Proximity Warning System (EGPWS)
- Sidesticks
- EGPWS/Sidestick Warnings interaction (a part of the ECAM design)

Of these pieces of equipment:

- An FD is an almost-universal piece of equipment on all high-performance jet aircraft, with an essentially uniform functional behavior across all similar aircraft.
- An EGPWS is similarly an almost-universal piece of equipment on all highperformance jet aircraft, mandated by regulation, whose behavior is internationally standardised. The original design was developed by Honeywell engineers.
- The autopilot functional behavior and sidestick functional behavior are an Airbus design. Its design, and its functional behavior during this accident sequence, is specific to this aircraft type and related designs from the same manufacturer.
- The sidestick DUAL INPUT warning, and its necessity, are specific to this aircraft type



and related designs from the same manufacturer. Hence the design of the warning prioritisation mechanism is also specific to this aircraft type and related designs from the same manufacturer.

Analysis Topic: **Sochi - MAK/BEA**

Analyst: Bernd Sieker

Report created on: *Thu Aug 09 17:05:00 CEST 2007*Document version: *Document version unknown*

INCIDENT ANALYSIS

Incident description:

Airbus A320 Accident near Sochi According to the offical MAK report, in the tranlation of the BEA.

Legend of Factorshapes



Event

Un-Event

State

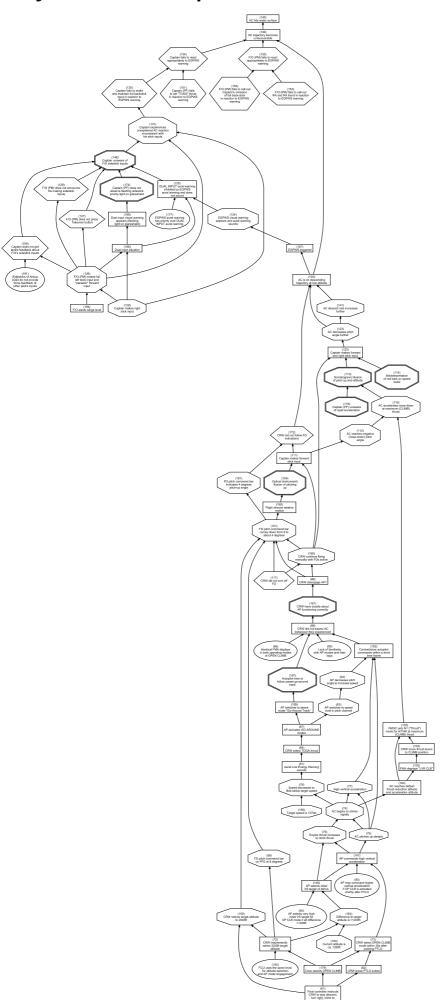
Process

Assumption

Countermeasure

Contraindication

Why-Because Graph



Timeline of Events

				TRK	3-TRK	S	a u	FT ALT	(0	CLB	3	W/	Ŧ			-	1	2 6	s FULL	
		Lat: NAV	Lat: LOC	Lat: GA TRK	Lat: HDG-TRK	Vert: SRS	Vert: CLB	Vert: SOFT	Vert: G/S	Vert: OP CLB	Vert: V/S	A/THR: V/M	A/THR: THR	AP1	7 G	FLAPS (FLAPS 1	FLAPS 2	FLAPS F	9/1
Sochi Approach Controller gives incomplete weather	03.05.200	L^{-}	ت 	ا ت		>	> >			> >	> >	'	∢				ш	ш и	_ ш	
forecast	21:16:07	TX						X				X		>		X				
CRW decide to return to Yerevan	21:16:10	ŀΧ						X				X		X		Χ				
Captain advises Tbilisi Regional Center West controller of return decision	21:16:14	Γ×						X				X		×	(Χ				
Tbilisi Regional Center West controller clears flight for turnaround	21:16:34	-x						X				X		×	(X				
Captain advises Sochi approach controller of return decision	21:17:00	-x						X				X		×	(Χ				
CRW asks Sochi approach controller for updated weather	21:26:31	-x						X				Χ		×	(Χ				
CRW tell Sochi approach controller that they have Deputies on board	21:28:00	-x						X				X		×	(X				
Sochi approach controller advises CRW of weather conditions close to, but within the limit	21:30:49	-x						X				X		×	(Χ				
Captain decides to fly back to Sochi	21:31:00	L×						X				Χ		>	(Χ				
Captain requests heading to BANUT for flight back to Sochi from Tbilisi Regional Center North	21:31:04	-x						X				X		×	(Χ				
Tbilisi North clears RNV 967 for heading to BANUT	21:31:10	Lx.						Χ				Χ		>	(Χ				
Captain informs Sochi approach controller of return decision	21:31:17	-x						X				X		×	(Χ				
CRW contact Tbilisi West to announce their flight	21:37:20	Lx						Х				Χ		×	(Χ				
Tbilisi West advises the CRW of weather conditions in Sochi	21:40:29	-x						X				X		×	(Χ				
Tbilisi West requests endurance and alternate from RNV 967	21:40:44	-x						X				X		X	(Χ				
CRW advises of endurance and alternates	21:40:48	Lx						Χ				Χ		×	(Χ				
CRW prepare for possible go-around procedure	21:41:00	Lx						Χ				Χ		×	(Χ				
Captains informs F/O about approach procedures	21:47:28	Lx.						X				Χ		×	(Χ				
Begin of descent	21:52:32	Lx.					X	(Χ		X	(Χ				
Captain complains about AP DESCENT mode allegedly not descending	21:54:20	-x					×					X		×	(Χ				
Captain switches AP vertical mode to OPEN DESCENT	21:54:26	L×								X	(Χ	×	(Χ				
Captain complains about AP not remaining in MANAGED mode.	21:55:31	-x					×	(X		×	(Χ				
AP2 disengaged, AP1 engaged	21:55:48	L×								X	(Χ		Χ		Χ				
Strela clears RNV 967 for descent to 4800m	21:58:40	Lx.								X	(Χ	Χ		Χ				
Strela clears RNV 967 for descent to 3600m	21:59:18	LX.								X	(Χ	Χ		Χ				
Sochi approach controller clears RNV 967 to continue descent to GUKIN	22:00:46	-x								X	(Χ	X		X				
CRW continue descent in OPEN DESCENT mode to target altitude of 1800m	22:01:00	-x								×	(Χ	X		Χ				
Sochi approach controller advises CRW of too high altitude	22:01:25	-x								X	(Χ	X		Χ				
Sochi approach controller advises CRW again of too high altitude	22:02:19	-×								×	(Χ	X		X				
CRW sets aerodrome pressure for Sochi	22:03:05	Lx.								X	(Χ	Χ		Χ				
Holding controller clears flight for descent to 600m and turn to final	22:03:29	-x								×	(Χ	X		X				
CRW turn to final	22:03:56	_			Χ						X	X		Χ		Χ				
Captain remarks about precipitation	22:04:18	H			Χ						X	Χ		Χ		Χ				
AP disengaged for 14 seconds	22:05:04	-										X			X	Χ				
AC overshoots runway heading	22:05:35	—			X						X	X		Χ		Χ				

		VAV	Lat: LOC	Lat: GA TRK	Lat: HDG-TRK	vert: SKS Vert: CLB	Vert: DES	Vert: SOFT AL- Vert: G/S	Vert: OP CLB	Vert: OP DES	Vert: V/S	A/THR: V/M A/THR: THR			080	S	oS 2	583	Ω
		- Lat: NAV	Lat:	Lat: (Lat	Vert	Vert	Vert	Vert	Vert	Vert	¥ ¥	AP1	AP2	FI AP	FLAPS	FLAPS:	FLAPS	\$ 9
CRW set HDG 090 to compensate for overshoot	22:05:40	+		2	Χ						X	Χ	Χ		X				
Holding controller advises CRW to turn to HDG 090 to compensate	22:05:50	_)	X						X	X	Χ		X				
CRW select OPEN DESCENT mode to target alt 620m	22:06:34	+		2	Χ					Χ)	Κ	Χ		X				
weather conditions measured: 4000m visibility, cloud ceiling 160m	22:07:00	 x								X		X	X		X				
CRW select APPROACH CONTROL mode	22:07:02	Lx.								Х)	X	Χ		X				
CRW start approach check list		X								Χ)	X	Х		X				
Holding controller gives less favourable weather report	22:07:35	⊢ ×								Х)	Κ	Χ		X				
Holding controller instructs CRW to proceed at 600m without descent		Х								X)	X	X		X				
CRW discusses weather report and controller actions for three minutes	22:07:40	†×								X)	×	X		X				
CRW select Localizer Capture (LOC*) mode	22:07:48	╄	Χ							Χ)	X	Х		X				
AP switches to LOC TRACK	22:08:14	+	Χ							Χ)	X	Χ		X				
Captain decides to extend flaps to CONF 1	22:08:31	+	Χ							Χ)	X	Х		X	Χ			
Slats extended 18 degrees	22:08:50	╆	Χ							Χ)	X	Χ			Χ			
weather conditions measured: 4000m visibility, cloud ceiling 190m	22:09:00	†×								X		X	X		X				
Flaps extended in CONF 2	22:09:20	-	Χ							Χ)	X	Χ				Χ		
Holding controller advises CRW to contact Tower and gives weather data above minima	22:09:33	Ħ	X							X)	K	X				X		
CRW extend landing gear	22:09:46	+	Χ							Χ)	X	X				Χ		X
AP 2 engaged	22:09:54	+	Χ							Χ)	X	Χ	Χ			Χ		Χ
Final controller takes over control of AC	22:09:59	+	Χ							Χ)	X	Х	X			Χ		X
CRW select Glideslope Capture (G/S*) mode	22:10:27	⊢	Χ					Х)	X	Χ	Χ			Χ		X
Glideslope Track (G/S) mode engaged	22:10:42	+	Χ					X)	X	X	Χ			Χ		X
CRW set Flaps to CONF 3	22:11:08	₩.	Χ					Х)	X	Х	Χ				X	X
CRW increase selected speed to 137kts	22:11:16	+	Χ					Х				X	Χ					X	X
CRW set flaps to CONF FULL	22:11:21	+	Χ							Χ)	X	Χ	Χ				×	< X
CRW begin landing checklist	22:11:25	t	Χ					X)	X	X	X				X	(X
Final controller instructs CRW to stop descent, turn right, climb to 600m.	22:11:40		Χ					X				X	X						< X
CRW press PTLO button	22:11:48	H	Χ					X				X	X						< X
AP vertical mode switches to V/S			Χ								X		Χ						(X
Pitch angle increases to 6 degrees			Χ								X	X	X	X					< X
AP2 disengages			Χ								Χ		Χ						(X
AP lateral mode switches to HDG					Χ						X		Χ						(X
Rudder pedal is moved to -1.4 degrees)	X						X	X	Χ					×	(X
Captain fails to call for FLAPS retraction during Go- Around manoeuvre	22:11:50)	X						X X	X	Χ					×	< X
CRW set new heading 172 on FCU selector	22:11:52	₩.		2	Χ						X	X	Х					×	< X
AC turns right)	Χ						X	X	X					×	(X
Final controller repeats instructions for right climbing turn	22:11:53	_		2	X						X X	×	X					×	ΚX
CRW inadvertently select 3200ft target altitude	22:11:57	-		2	X						X	X	Χ					X	(X
Current altitude is ca. 1280ft				2	Χ						X	X	Χ					X	< X
Difference to target altitude is >1200ft				2	X						X	X	Χ					X	(X
CRW select OPEN CLIMB mode within 30s after pushing PTLO	22:11:58)	X				X)	K	X					×	< X
Crew selects OPEN CLIMB				Χ					Χ)	X	Χ					X	(X
Target speed is 137kts)	X				Χ)	X	Χ					X	Х
Autopilot moves rudder to +2.3 degrees	22:12:00	-		2	Χ						X	Χ	Χ					X	(X
AC begins to climbs rapidly					X				Χ			K	Χ					×	X

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		ĕ	00	Lat: GA TRK	Lat: HDG-TRK	SRS	DES	Vert: SOFT ALT	Vert: G/S	vert: OP CLB Vert: OP DES	S//S	A/THR: V/M	A/THR: THR			(ט ה	N 2	. s	FLAPS FUL	
		Lat: NAV	Lat: LOC	Lat: G	Lat: H	Vert: SRS	Vert: DES	Vert:	Vert: G/S	vert.	Vert: V/S	A/THF	A/TH	AP1	AP2	F .	FLAPS U	FLAPS 2	FLAPS 3	FLAP	9/1
AC pitches up steeply					Χ				>	(Χ		Χ						X	Χ
Engine thrust increases to climb thrust					Х				>	(Х		Χ						X	Χ
CRW did not expect AC behaviour they experienced				Χ		Χ								Χ						X	Χ
AP commands high vertical acceleration				Χ					>	(Х	Χ						X	Χ
AP selects initial VS target of 40m/s				Χ					>	(Х	Χ						X	Χ
Aural Low Energy Warning sounds	22:12:04	_			Χ				>	(Χ		Χ						X	Χ
AP decreases pitch angle to increase speed					Χ						Χ	Χ		Χ						X	Χ
AP switches to speed hold in pitch channel					X	X							Χ							X	Χ
Contradictory autopilot commands within a short time frame																					
High vertical acceleration	22:12:06	-			Χ				>	(Χ		Χ						X	Χ
Speed decreases to 8kts below target speed					Χ				>	(Χ		Χ						X	Χ
CRW select TOGA thrust	22:12:07	<u> </u>			Χ				>	(Χ						X	Χ
AP activates GO-AROUND modes				Χ		X							Χ							X	X
CRW disengage AP1				Χ		X										X				X	Χ
CRW make a short -2.3 degrees pedal input				Χ		X										X				X	Χ
CRW continue flying manually with FDs active				Χ					>	(Χ		2	Χ				X	Χ
CRW have doubts about AP functioning correctly				Χ					>	(Χ	Χ						X	X
CRW did not follow FD indications				Χ					>	(Χ	Χ						X	Χ
AP switches to lateral mode "Go-Around Track"				Χ		X							Х	Χ						X	Χ
Autopilot tries to follow preset go-around track																					
Captain makes forward (nose-down) and left stick input	22:12:08	_		Χ		X										X				X	Χ
AC decreases pitch angle to 4 degrees				Χ		X										X				X	Χ
AC reduces bank angle to 7 degrees				Χ		X										X				X	Χ
IAS increases to 140 kts				Χ		X										X				X	Χ
RoC decreases to 1-2 m/s				Χ		X										X				X	X
F/O fails to announce low pitch angle				Χ		X							Χ			Χ	X	(Χ
Captain makes -9 degress right sidestick input	22:12:19	_		Χ		X										Χ				X	Χ
AC perfoms stabilised right turn at 20 degrees bank angle				Χ		X									2	Χ				X	Χ
FD pitch command bar on PFD at 8 degrees				Χ		X										X				X	Χ
FD command bars disagree with manual control				Χ		X							Χ		2	Χ				X	Χ
CRW reduce target altitude to 2048ft	22:12:20	<u> </u>		Χ		X										X				X	Χ
FD pitch command bar comes down from 8 to about 4 degrees				X		X									,	X				X	Χ
Optical (instrument) illusion of pitching up				Χ					>	(Х		Ì	X					Χ
Flight director relative motion				Χ		X							Χ			X				X	Χ
FD pitch command bar indicates 4 degrees pitch-up angle																					
CRW move thrust levers to CLIMB position	22:12:24	-		Χ		X							Χ			Χ				X	Χ
FMA displays "LVR CLB"				Χ		X							Χ		Ì	X				X	X
AC reaches default thrust reduction altitude and acceleration altitude				X		X							X		,	X				X	X
FMGS sets OPEN CLIMB mode and target speed 202kts				Χ					>	(Χ			X				X	Χ
Somatogravic illusion of pitch up and attitude				Χ					>	(Χ			X				X	Χ
FMGC sets N1 ("Thrust") mode for A/THR at maximum (CLIMB) thrust				X					>	(X			X				X	X
Captain (PF) unaware of rapid acceleration				Χ					>	(Χ			Χ				X	Χ
AC in stabilised climbing right turn	22:12:26	_		Χ					>	(Χ			Χ				X	X
Final controller advises CRW to call Sochi holding controller	22:12:28	H		X					>	(X			X				X	X
Misinterpretation of red bars on speed scale				Χ					>	(Χ			Χ				X	X
Captain makes forward and right stick inputs	22:12:30	_		Χ					>	(Χ			Χ				X	Χ
AC decreases pitch to 1.4 degrees				Χ					>				Χ			X				X	
AC increases bank angle to 30 degrees				Χ					>	(Χ]	X				X	X

		2	200	Lat: GA TRK	Lat: HDG-TRK Vert: SRS	SICS CLB	DES	Vert: SOFT ALT	Vert: G/S	Vert: OP DES	N/S	A/THR: V/M	A/THR: THR			S 0	S 1	S 2	S 3	S FULL
			Lat: LOC	Lat: G	Lat: HDG-	Vert: CLB	Vert: DES	Vert:	Vert: G/S	Vert	Vert: V/S	ATH	ATH	AP1	AP G	FLAPS	FLAPS	FLAPS;	FLAPS	FLAPS I
Captain makes forward stick input	22:12:34	_		X					X				Χ		X				×	ΚX
AC reaches negative (nose-down) pitch angle				Χ					X	(Χ		Χ				X	ΚX
AC accelerates nose-down at maximum (CLIMB) thrust				Χ					X				Χ		X			2	X	X
CRW did not turn off FD				Χ					X	(Χ		Χ)	X	X
F/O fails to announce descent rate				Χ	X	(Χ		Χ		Χ			X
AC is on descending trajectory at low altitude				Χ					Х	(Χ		Χ				X	ΚX
A/THR remains in N1 mode at full climb thrust				Χ					X				Χ		X				X	X
Captain orders F/O to retract flaps	22:12:37	H		Χ					Х	(Χ		Χ				X	ΚX
Flaps start retracting to CONF 2				Χ					X				Χ		X			X		X
AC reaches VFE for CONF FULL				Χ					X	(Χ		Χ			X		X
Controller advises about new approach procedure	22:12:40	_		Χ					X				Χ		X		Χ			X
MASTER WARNING / CRC warning for overspeed activates	22:12:41			X					X				X		X			X		X
Flaps start retracting to CONF 1	22:12:45	\vdash		Χ					X				Χ		X		X			X
Captain makes forward and right stick input				X					X				Χ		Χ		X			X
AC decreases pitch angle further				Χ					X				Χ		X		X			X
AC descent rate increases further				Χ					Х	(Χ		Χ			X
EGPWS visual warning appears and aural warning sounds	22:12:47	_		X					X				X		X		X			X
DUAL INPUT aural warning inhibited by EGPWS aural warning and does not sound				X					X				X		X		X			X
F/O (PM) makes full left stick input and "parasitic" forward input				Χ					X				X		X		X			X
F/O (PM) does not press Takeover button				Χ					X	(Χ		Χ		Χ			X
F/O (PM) does not announce his making sidestick inputs				Χ					X	(Χ		X		Χ			X
Captain makes right stick input				Χ					X	(Χ		Χ		Χ			X
Captain fails to react appropriately to EGPWS warning				Χ					X				Χ		Χ		Χ			X
F/O (PM) fails to react appropriately to EGPWS warning				Χ					X	(Χ		Χ		Χ			X
Captain unaware of F/O sidestick inputs				Χ					X				Χ		X		Χ			X
EGPWS triggered				Χ					Х	(Χ		Χ		Χ			X
Captain (PF) does not observe flashing sidestick priority light on glareshield				X					X				X		X		X			X
F/O wants wings level																				
Dual input situation																				
Dual input visual warning appears (flashing light on glareshield)																				
Captain does not get tactile feedback about F/O's sidestick inputs																				
Captain fails to make and maintain full backstick input in reaction to EGPWS warning	22:12:48			X					×				X		X		X			X
Captain experiences unexplained AC reaction inconsistent with his stick inputs				X					×				X		X		X			X
Captain (PF) fails to set "TOGA" thrust in reaction to EGPWS warning				X					×						X		X			X
AP/FD vertical mode change to VS	22:12:49	-		X					X				Χ		X		X			X
A/THR system reduces thrust to prevent overspeed				Χ					X			Χ			X		X			X
F/O (PM) fails to call-out Captain's omission of full backstick in reaction to EGPWS warning				X					X						X		X			X
Preset vertical speed becomes 25.4m/s				X					X			Χ			Χ		X			X
A/THR mode changes to speed hold (V/M)				X					X			Χ			X		X			X
IAS reaches VFE + 4kts				X					X				Χ		Χ		X			X
F/O (PM) fails to call-out RA and RA trend in reaction to EGPWS warning	22:12:50			X					X			X			X		X			X
CRW move thrust levers to idle and back to CL	22:12:51	\vdash		X					X			Χ			Χ		X			X
A/THR disengages				Χ					X						Χ		Χ			X

		Lat: NAV	Lat: LOC	Lat: GA TRK	Lat: HDG-TRK	Vert: CLB	Vert: DES	Vert: SOFT ALT	Vert: G/S	Vert: OP DES	Vert: V/S	A/THR: V/M	A/THR: THR	AP1	AP2	E E	FLAPS 0	FLAPS I FLAPS 2	FLAPS 3	FLAPS FULL	D/I
AC trajectory becomes unrecoverable	22:12:58	_		Χ					>	(2	X 2	X				Χ
High-lift devices retract to CONF 0	22:13:01	_		Χ					>	()	X 2	X				Χ
-6 degrees pedal input				Χ					>	(X 2	X				Χ
AC bank angle reduces				Χ					>	(X 2	Χ			,	X
AC hits water surface	22:13:03	_		X					×	(X	X			2	X

Factor List - Overview

- 1 Sochi Approach Controller gives incomplete weather forecast
- 2 CRW decide to return to Yerevan
- 3 Captain advises Tbilisi Regional Center West controller of return decision
- 4 CRW asks Sochi approach controller for updated weather
- 5 Captain advises Sochi approach controller of return decision
- 6 Tbilisi Regional Center West controller clears flight for turnaround
- 7 CRW tell Sochi approach controller that they have Deputies on board
- 8 Sochi approach controller advises CRW of weather conditions close to, but within the limit
- 9 Captain decides to fly back to Sochi
- 10 Captain requests heading to BANUT for flight back to Sochi from Tbilisi Regional Center North
- 11 Tbilisi North clears RNV 967 for heading to BANUT
- 12 Captain informs Sochi approach controller of return decision
- 13 CRW contact Tbilisi West to announce their flight
- 14 Tbilisi West advises the CRW of weather conditions in Sochi
- 15 Tbilisi West requests endurance and alternate from RNV 967
- 16 CRW advises of endurance and alternates
- 17 CRW prepare for possible go-around procedure
- 18 Captains informs F/O about approach procedures
- 19 Begin of descent
- 20 Captain complains about AP DESCENT mode allegedly not descending
- 21 Captain switches AP vertical mode to OPEN DESCENT
- 22 Captain complains about AP not remaining in MANAGED mode.
- 23 AP2 disengaged, AP1 engaged
- 24 Strela clears RNV 967 for descent to 4800m
- 25 Strela clears RNV 967 for descent to 3600m
- 26 Sochi approach controller clears RNV 967 to continue descent to GUKIN
- 27 CRW continue descent in OPEN DESCENT mode to target altitude of 1800m
- 28 weather conditions measured: 4000m visibility, cloud ceiling 160m
- 29 weather conditions measured: 4000m visibility, cloud ceiling 190m
- 30 Sochi approach controller advises CRW of too high altitude
- 31 Sochi approach controller advises CRW again of too high altitude
- 32 CRW sets aerodrome pressure for Sochi
- 33 Holding controller clears flight for descent to 600m and turn to final
- 34 CRW turn to final
- 35 Captain remarks about precipitation
- 36 AP disengaged for 14 seconds
- 37 AC overshoots runway heading
- 38 CRW set HDG 090 to compensate for overshoot
- 39 Holding controller advises CRW to turn to HDG 090 to compensate
- 40 CRW select OPEN DESCENT mode to target alt 620m
- 41 CRW select APPROACH CONTROL mode
- 42 CRW start approach check list
- 43 Holding controller gives less favourable weather report
- 44 CRW discusses weather report and controller actions for three minutes
- 45 Holding controller instructs CRW to proceed at 600m without descent
- 46 CRW select Localizer Capture (LOC*) mode
- 47 AP switches to LOC TRACK
- 48 Captain decides to extend flaps to CONF 1
- 49 Slats extended 18 degrees
- 50 Flaps extended in CONF 2
- 51 Holding controller advises CRW to contact Tower and gives weather data above minima

- 52 CRW extend landing gear
- 53 AP 2 engaged
- 54 Final controller takes over control of AC
- 55 CRW select Glideslope Capture (G/S*) mode
- 56 Glideslope Track (G/S) mode engaged
- 57 CRW set Flaps to CONF 3
- 58 CRW increase selected speed to 137kts
- 59 CRW set flaps to CONF FULL
- 60 CRW begin landing checklist
- 61 Final controller instructs CRW to stop descent, turn right, climb to 600m.
- 62 CRW press PTLO button
- 63 AP vertical mode switches to V/S
- 64 Pitch angle increases to 6 degrees
- 65 AP2 disengages
- 66 AP lateral mode switches to HDG
- 67 Rudder pedal is moved to -1.4 degrees
- 68 CRW set new heading 172 on FCU selector
- 69 AC turns right
- 70 Autopilot moves rudder to +2.3 degrees
- 71 Final controller repeats instructions for right climbing turn
- 72 CRW inadvertently select 3200ft target altitude
- 73 CRW select OPEN CLIMB mode within 30s after pushing PTLO
- 74 AC begins to climbs rapidly
- 75 AC pitches up steeply
- 76 Engine thrust increases to climb thrust
- 77 High vertical acceleration
- 78 Speed decreases to 8kts below target speed
- 80 AP may command higher vertical acceleration if OP CLB is activated shortly after PTLO
- 81 Aural Low Energy Warning sounds
- 82 AP decreases pitch angle to increase speed
- 83 AP switches to speed hold in pitch channel
- 84 CRW select TOGA thrust
- 85 AP selects very high initial VS target for OP CLB mode if alt difference >1200ft
- 86 Identical FMA displays in both operating modes of OPEN CLIMB
- 87 AP activates GO-AROUND modes
- 88 CRW disengage AP1
- 89 CRW did not expect AC behaviour they experienced
- 90 Lack of familiarity with AP modes and their logic
- 91 Captain makes forward (nose-down) and left stick input
- 92 AC decreases pitch angle to 4 degrees
- 93 AC reduces bank angle to 7 degrees
- 94 IAS increases to 140 kts
- 95 CRW make a short -2.3 degrees pedal input
- 96 RoC decreases to 1-2 m/s
- 97 Captain makes -9 degress right sidestick input
- 98 AC perfoms stabilised right turn at 20 degrees bank angle
- 99 FD pitch command bar on PFD at 8 degrees
- 100 CRW reduce target altitude to 2048ft
- 101 FD pitch command bar comes down from 8 to about 4 degrees
- 102 CRW move thrust levers to CLIMB position
- 103 FMA displays "LVR CLB"
- 104 AC reaches default thrust reduction altitude and acceleration altitude
- 105 FMGS sets OPEN CLIMB mode and target speed 202kts
- 106 AC in stabilised climbing right turn
- 107 Final controller advises CRW to call Sochi holding controller

- 108 Captain makes forward and right stick inputs
- 109 AC decreases pitch to 1.4 degrees
- 110 AC increases bank angle to 30 degrees
- 111 Captain makes forward stick input
- 112 AC reaches negative (nose-down) pitch angle
- 113 Somatogravic illusion of pitch up and attitude
- 114 Misinterpretation of red bars on speed scale
- 115 Captain orders F/O to retract flaps
- 116 AC accelerates nose-down at maximum (CLIMB) thrust
- 117 CRW did not turn off FD
- 119 Flaps start retracting to CONF 2
- 120 MASTER WARNING / CRC warning for overspeed activates
- 121 Flaps start retracting to CONF 1
- 122 Captain makes forward and right stick input
- 123 AC decreases pitch angle further
- 124 EGPWS visual warning appears and aural warning sounds
- 125 DUAL INPUT aural warning inhibited by EGPWS aural warning and does not sound
- 126 F/O (PM) makes full left stick input and "parasitic" forward input
- 127 F/O (PM) does not press Takeover button
- 128 F/O (PM) does not announce his making sidestick inputs
- 129 Captain makes right stick input
- 130 Captain fails to make and maintain full backstick input in reaction to EGPWS warning
- 131 Captain experiences unexplained AC reaction inconsistent with his stick inputs
- 132 F/O fails to announce low pitch angle
- 133 F/O fails to announce descent rate
- 134 Captain fails to react appropriately to EGPWS warning
- 135 F/O (PM) fails to react appropriately to EGPWS warning
- 136 Controller advises about new approach procedure
- 137 AP/FD vertical mode change to VS
- 138 A/THR system reduces thrust to prevent overspeed
- 139 CRW move thrust levers to idle and back to CL
- 140 A/THR disengages
- 141 AC descent rate increases further
- 142 High-lift devices retract to CONF 0
- 143 -6 degrees pedal input
- 144 AC bank angle reduces
- 145 AC hits water surface
- 146 Captain unaware of F/O sidestick inputs
- 147 AP commands high vertical acceleration
- 148 AP selects initial VS target of 40m/s
- 149 AC trajectory becomes unrecoverable
- 150 AC is on descending trajectory at low altitude
- 151 Captain (PF) fails to set "TOGA" thrust in reaction to EGPWS warning
- 152 F/O (PM) fails to call-out Captain's omission of full back-stick in reaction to EGPWS warning
- 153 F/O (PM) fails to call-out RA and RA trend in reaction to EGPWS warning
- 154 Optical (instrument) illusion of pitching up
- 155 FMGC sets N1 ("Thrust") mode for A/THR at maximum (CLIMB) thrust
- 156 Preset vertical speed becomes 25.4m/s
- 157 A/THR mode changes to speed hold (V/M)
- 158 IAS reaches VFE + 4kts
- 159 FD command bars disagree with manual control
- 160 CRW continue flying manually with FDs active
- 161 CRW have doubts about AP functioning correctly
- 163 Current altitude is ca. 1280ft
- 164 Difference to target altitude is >1200ft

- 165 SOP requires F/O to call out omissions of Cpatain's actions to EGPWS reactions
- 166 SOP requires Captain to set TOGA set
- 167 EGPWS triggered
- 168 AC reaches VFE for CONF FULL
- 170 FCOM recommends FD disengagement if not following FD
- 171 A/THR remains in N1 mode at full climb thrust
- 172 CRW did not follow FD indications
- 173 SOP requires use of Takeover button and Call-out if using Sidestick as PNF
- 174 Captain (PF) does not observe flashing sidestick priority light on glareshield
- 175 Captain fails to call for FLAPS retraction during Go-Around manoeuvre
- 176 SOP requires PF to make and maintain full backstick deflection
- 177 EGPWS aural warning has priority over DUAL INPUT aural warning
- 178 Captain (PF) unaware of rapid acceleration
- 179 Crew selects OPEN CLIMB
- 180 Flight director relative motion
- 181 FD pitch command bar indicates 4 degrees pitch-up angle
- 182 DUAL INPUT aural warning inhibition by EGPWS aural warning is not mentioned in FCOM
- 183 Captain relies on DUAL INPUT aural warning to alert him to cross-controlling
- 184 F/O wants wings level
- 185 AP switches to lateral mode "Go-Around Track"
- 186 Target speed is 137kts
- 187 Autopilot tries to follow preset go-around track
- 188 Dual input situation
- 189 Dual input visual warning appears (flashing light on glareshield)
- 190 Captain does not get tactile feedback about F/O's sidestick inputs
- 191 Sidesticks of Airbus A320 do not provide force-feedback of other pilot's inputs
- 192 Contradictory autopilot commands within a short time frame
- 193 FCU uses the same knob for altitude selection and AP mode engagement

Factor List - Details

1 Sochi Approach Controller gives incomplete weather forecast

Type of Factor: Event

Date/Time: 03.05.2006 21:16:07

Actors involved: Vertical AP Mode: Altitude Cruise (MAN)

Autothrust Mode: Speed/Mach

Autopilot 2 active

Lateral AP Mode: NAV or APP NAV (MAN) High-lift devices completely retracted The trend "AT TIMES" was omitted.

2 CRW decide to return to Yerevan

Type of Factor: Event

Annotation:

Date/Time: 03.05.2006 21:16:10

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Altitude Cruise (MAN)

Autothrust Mode: Speed/Mach

Autopilot 2 active

High-lift devices completely retracted

3 Captain advises Tbilisi Regional Center West controller of return decision

Type of Factor: Event

Date/Time: 03.05.2006 21:16:14

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Altitude Cruise (MAN)

Autothrust Mode: Speed/Mach

Autopilot 2 active

High-lift devices completely retracted

4 CRW asks Sochi approach controller for updated weather

Type of Factor: Event

Date/Time: 03.05.2006 21:26:31

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Altitude Cruise (MAN)

Autothrust Mode: Speed/Mach

Autopilot 2 active

High-lift devices completely retracted

5 Captain advises Sochi approach controller of return decision

Type of Factor: Event

Date/Time: 03.05.2006 21:17:00

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Altitude Cruise (MAN)

Autothrust Mode: Speed/Mach

Autopilot 2 active

High-lift devices completely retracted

6 Tbilisi Regional Center West controller clears flight for turnaround

Type of Factor: Event

Date/Time: 03.05.2006 21:16:34

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Altitude Cruise (MAN)

Autothrust Mode: Speed/Mach

Autopilot 2 active

7 CRW tell Sochi approach controller that they have Deputies on board

Type of Factor: Event

Date/Time: 03.05.2006 21:28:00

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Altitude Cruise (MAN)

Autothrust Mode: Speed/Mach

Autopilot 2 active

High-lift devices completely retracted

Annotation: This was untrue. Might have been used to encourage the Sochi controller to give them a more

favourable weather forecast

8 Sochi approach controller advises CRW of weather conditions close to, but within the limit

Type of Factor: Event

Date/Time: 03.05.2006 21:30:49

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Altitude Cruise (MAN)

Autothrust Mode: Speed/Mach

Autopilot 2 active

High-lift devices completely retracted

9 Captain decides to fly back to Sochi

Type of Factor: Event

Date/Time: 03.05.2006 21:31:00

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Altitude Cruise (MAN)

Autothrust Mode: Speed/Mach

Autopilot 2 active

High-lift devices completely retracted

10 Captain requests heading to BANUT for flight back to Sochi from Tbilisi Regional Center North

Type of Factor: Event

Date/Time: 03.05.2006 21:31:04

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Altitude Cruise (MAN)

Autothrust Mode: Speed/Mach

Autopilot 2 active

High-lift devices completely retracted

11 Tbilisi North clears RNV 967 for heading to BANUT

Type of Factor: Event

Date/Time: 03.05.2006 21:31:10

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Altitude Cruise (MAN)

Autothrust Mode: Speed/Mach

Autopilot 2 active

High-lift devices completely retracted

12 Captain informs Sochi approach controller of return decision

Type of Factor: Event

Date/Time: 03.05.2006 21:31:17

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Altitude Cruise (MAN)

Autothrust Mode: Speed/Mach

Autopilot 2 active

13 CRW contact Tbilisi West to announce their flight

Type of Factor: Event

Date/Time: 03.05.2006 21:37:20

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Altitude Cruise (MAN)

Autothrust Mode: Speed/Mach

Autopilot 2 active

High-lift devices completely retracted

14 Tbilisi West advises the CRW of weather conditions in Sochi

Type of Factor: Event

Date/Time: 03.05.2006 21:40:29

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Altitude Cruise (MAN)

Autothrust Mode: Speed/Mach

Autopilot 2 active

High-lift devices completely retracted

Annotation: Gives the same data as Sochi controller at 21:30:49

15 Tbilisi West requests endurance and alternate from RNV 967

Type of Factor: Event

Date/Time: 03.05,2006 21:40:44

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Altitude Cruise (MAN)

Autothrust Mode: Speed/Mach

Autopilot 2 active

High-lift devices completely retracted

16 CRW advises of endurance and alternates

Type of Factor: Event

Date/Time: 03.05.2006 21:40:48

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Altitude Cruise (MAN)

Autothrust Mode: Speed/Mach

Autopilot 2 active

High-lift devices completely retracted

Annotation: Endurance 2.5 hours, alternates Rostov and Yerevan

17 CRW prepare for possible go-around procedure

Type of Factor: Process

Date/Time: 03.05.2006 21:41:00

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Altitude Cruise (MAN)

Autothrust Mode: Speed/Mach

Autopilot 2 active

High-lift devices completely retracted

18 Captains informs F/O about approach procedures

Type of Factor: Process

Date/Time: 03.05.2006 21:47:28

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Altitude Cruise (MAN)

Autothrust Mode: Speed/Mach

Autopilot 2 active

19 Begin of descent

Annotation:

Type of Factor: Event

Date/Time: 03.05.2006 21:52:32

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Descent (MAN) Autothrust Mode: Speed/Mach

Autopilot 2 active

High-lift devices completely retracted CRW sets target altitude 21950 ft

20 Captain complains about AP DESCENT mode allegedly not descending

Type of Factor: Event

Date/Time: 03.05.2006 21:54:20

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Descent (MAN) Autothrust Mode: Speed/Mach

Autopilot 2 active

High-lift devices completely retracted

21 Captain switches AP vertical mode to OPEN DESCENT

Type of Factor: Event

Date/Time: 03.05.2006 21:54:26

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: N1
Autopilot 2 active

High-lift devices completely retracted Descent rate increases to 10-12m/s,

22 Captain complains about AP not remaining in MANAGED mode.

Type of Factor: Event

Annotation:

Date/Time: 03.05.2006 21:55:31

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Descent (MAN) Autothrust Mode: Speed/Mach

Autopilot 2 active

High-lift devices completely retracted

23 AP2 disengaged, AP1 engaged

Type of Factor: Process

Date/Time: 03.05.2006 21:55:48

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices completely retracted

Annotation: Briefly A/THR in speed mode, after that in N1 mode

24 Strela clears RNV 967 for descent to 4800m

Type of Factor: Event

Date/Time: 03.05.2006 21:58:40

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: N1 Autopilot 1 active

25 Strela clears RNV 967 for descent to 3600m

Type of Factor: Event

Date/Time: 03.05.2006 21:59:18

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: N1
Autopilot 1 active

High-lift devices completely retracted

26 Sochi approach controller clears RNV 967 to continue descent to GUKIN

Type of Factor: Event

Date/Time: 03.05.2006 22:00:46

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Autothrust Mode: N1
Autopilot 1 active

High-lift devices completely retracted Vertical AP Mode: Open Descent (SEL)

27 CRW continue descent in OPEN DESCENT mode to target altitude of 1800m

Type of Factor: Event

Date/Time: 03.05.2006 22:01:00

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: N1
Autopilot 1 active

High-lift devices completely retracted

28 weather conditions measured: 4000m visibility, cloud ceiling 160m

Type of Factor: Event

Date/Time: 03.05.2006 22:07:00

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: N1
Autopilot 1 active

High-lift devices completely retracted

29 weather conditions measured: 4000m visibility, cloud ceiling 190m

Type of Factor: Event

Date/Time: 03.05.2006 22:09:00

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: N1
Autopilot 1 active

High-lift devices completely retracted

30 Sochi approach controller advises CRW of too high altitude

Type of Factor: Event

Date/Time: 03.05.2006 22:01:25

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: N1
Autopilot 1 active

High-lift devices completely retracted

Annotation: Instructions to continue descent to 1800m to GUKIN

31 Sochi approach controller advises CRW again of too high altitude

Type of Factor: Event

Date/Time: 03.05.2006 22:02:19

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: N1
Autopilot 1 active

High-lift devices completely retracted

Annotation: ("if able expedite descent!")

32 CRW sets aerodrome pressure for Sochi

Type of Factor: Event

Date/Time: 03.05.2006 22:03:05

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: N1 Autopilot 1 active

High-lift devices completely retracted

Annotation: At 3680m

33 Holding controller clears flight for descent to 600m and turn to final

Type of Factor: Event

Date/Time: 03.05.2006 22:03:29

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: N1
Autopilot 1 active

High-lift devices completely retracted

34 CRW turn to final

Type of Factor: Event

Date/Time: 03.05.2006 22:03:56

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Autothrust Mode: Speed/Mach

Vertical AP Mode: Vertical Speed (SEL)

Autopilot 1 active

High-lift devices completely retracted

35 Captain remarks about precipitation

Type of Factor: Event

Date/Time: 03.05.2006 22:04:18

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Vertical Speed (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices completely retracted

36 AP disengaged for 14 seconds

Type of Factor: Process

Date/Time: 03.05.2006 22:05:04

Actors involved: Autothrust Mode: Speed/Mach

Flight Directors active

37 AC overshoots runway heading

Type of Factor: Event

Date/Time: 03.05.2006 22:05:35

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Vertical Speed (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices completely retracted

38 CRW set HDG 090 to compensate for overshoot

Type of Factor: Event

Date/Time: 03.05.2006 22:05:40

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Vertical Speed (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices completely retracted

39 Holding controller advises CRW to turn to HDG 090 to compensate

Type of Factor: Event

Date/Time: 03.05.2006 22:05:50

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Vertical Speed (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices completely retracted

40 CRW select OPEN DESCENT mode to target alt 620m

Type of Factor: Event

Date/Time: 03.05.2006 22:06:34

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Autothrust Mode: Speed/Mach

Vertical AP Mode: Open Descent (SEL)

Autopilot 1 active

High-lift devices completely retracted

41 CRW select APPROACH CONTROL mode

Type of Factor: Event

Date/Time: 03.05.2006 22:07:02

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices completely retracted

Annotation: There is no autopilot mode called "APPROACH CONTROL". It is not clear what is meant

here.

42 CRW start approach check list

Type of Factor: Event

Date/Time: 03.05.2006 22:07:02

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

43 Holding controller gives less favourable weather report

Type of Factor: Event

Date/Time: 03.05.2006 22:07:35

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices completely retracted

44 CRW discusses weather report and controller actions for three minutes

Type of Factor: Process

Date/Time: 03.05.2006 22:07:40

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices completely retracted

Annotation: Including use of expletives, even between checklist items

45 Holding controller instructs CRW to proceed at 600m without descent

Type of Factor: Event

Date/Time: 03.05,2006 22:07:35

Actors involved: Lateral AP Mode: NAV or APP NAV (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices completely retracted

46 CRW select Localizer Capture (LOC*) mode

Type of Factor: Event

Date/Time: 03.05.2006 22:07:48

Actors involved: Lateral AP Mode: Localizer Capture or Track (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices completely retracted

Annotation: Localizer Capture mode to align with localizer

47 AP switches to LOC TRACK

Type of Factor: Event

Date/Time: 03.05,2006 22:08:14

Actors involved: Lateral AP Mode: Localizer Capture or Track (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices completely retracted

Annotation: Localizer Track, meaning AC is and remains aligned with the localizer.

Altitude 600m

48 Captain decides to extend flaps to CONF 1

Type of Factor: Event

Date/Time: 03.05.2006 22:08:31

Actors involved: Lateral AP Mode: Localizer Capture or Track (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration 1 High-lift devices completely retracted

49 Slats extended 18 degrees

Type of Factor: Event

Date/Time: 03.05.2006 22:08:50

Actors involved: Lateral AP Mode: Localizer Capture or Track (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration 1

50 Flaps extended in CONF 2

Type of Factor: Event

Date/Time: 03.05.2006 22:09:20

Actors involved: Lateral AP Mode: Localizer Capture or Track (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration 2

51 Holding controller advises CRW to contact Tower and gives weather data above minima

Type of Factor: Event

Date/Time: 03.05.2006 22:09:33

Actors involved: Lateral AP Mode: Localizer Capture or Track (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration 2

52 CRW extend landing gear

Type of Factor: Event

Date/Time: 03.05.2006 22:09:46

Actors involved: Lateral AP Mode: Localizer Capture or Track (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration 2

Landing gear extended

53 AP 2 engaged

Type of Factor: Event

Date/Time: 03.05.2006 22:09:54

Actors involved: Lateral AP Mode: Localizer Capture or Track (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active Autopilot 2 active

High-lift devices in configuration 2

Landing gear extended

Annotation: AP1 and AP2 now both active

54 Final controller takes over control of AC

Type of Factor: Event

Date/Time: 03.05.2006 22:09:59

Actors involved: Lateral AP Mode: Localizer Capture or Track (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active
Autopilot 2 active

High-lift devices in configuration 2

Landing gear extended

55 CRW select Glideslope Capture (G/S*) mode

Type of Factor: Event

Date/Time: 03.05.2006 22:10:27

Actors involved: Lateral AP Mode: Localizer Capture or Track (MAN)

Vertical AP Mode: Glideslope Capture or Track (MAN)

Autothrust Mode: Speed/Mach

Autopilot 1 active Autopilot 2 active

High-lift devices in configuration 2

Landing gear extended

56 Glideslope Track (G/S) mode engaged

Type of Factor: Event

Date/Time: 03.05.2006 22:10:42

Actors involved: Lateral AP Mode: Localizer Capture or Track (MAN)

Vertical AP Mode: Glideslope Capture or Track (MAN)

Autothrust Mode: Speed/Mach

Autopilot 1 active Autopilot 2 active

High-lift devices in configuration 2

Landing gear extended

Annotation: AC is and remains on glide slope

57 CRW set Flaps to CONF 3

Type of Factor: Event

Date/Time: 03.05.2006 22:11:08

Actors involved: Lateral AP Mode: Localizer Capture or Track (MAN)

Vertical AP Mode: Glideslope Capture or Track (MAN)

Autothrust Mode: Speed/Mach

Autopilot 1 active Autopilot 2 active

High-lift devices in configuration 3

Landing gear extended

58 CRW increase selected speed to 137kts

Type of Factor: Event

Date/Time: 03.05.2006 22:11:16

Actors involved: Lateral AP Mode: Localizer Capture or Track (MAN)

Vertical AP Mode: Glideslope Capture or Track (MAN)

Autothrust Mode: Speed/Mach

Autopilot 1 active
Autopilot 2 active

High-lift devices in configuration 3

59 CRW set flaps to CONF FULL

Type of Factor: Event

Date/Time: 03.05.2006 22:11:21

Actors involved: Lateral AP Mode: Localizer Capture or Track (MAN)

Vertical AP Mode: Open Descent (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active
Autopilot 2 active

High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: Target speed and actual speed match, 137kts

AC stabilised on glide slope, ready for landing

60 CRW begin landing checklist

Type of Factor: Event

Date/Time: 03.05.2006 22:11:25

Actors involved: Lateral AP Mode: Localizer Capture or Track (MAN)

Vertical AP Mode: Glideslope Capture or Track (MAN)

Autothrust Mode: Speed/Mach

Autopilot 1 active
Autopilot 2 active

High-lift devices in configuration FULL (4)

Landing gear extended

61 Final controller instructs CRW to stop descent, turn right, climb to 600m.

Type of Factor: Event

Date/Time: 03.05.2006 22:11:40

Actors involved: Lateral AP Mode: Localizer Capture or Track (MAN)

Vertical AP Mode: Glideslope Capture or Track (MAN)

Autothrust Mode: Speed/Mach

Autopilot 1 active Autopilot 2 active

High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: altitude 390m, 7km from RWY edge.

Thrust levers at CL (autothrust active) No explicit go-around instruction

62 CRW press PTLO button

Type of Factor: Event

Date/Time: 03.05.2006 22:11:48

Actors involved: Autothrust Mode: Speed/Mach

Lateral AP Mode: Localizer Capture or Track (MAN) Vertical AP Mode: Glideslope Capture or Track (MAN)

Autopilot 1 active Autopilot 2 active

High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: "Push to level off"

63 AP vertical mode switches to V/S

Type of Factor: Event

Date/Time: 03.05.2006 22:11:48

Actors involved: Vertical AP Mode: Vertical Speed (SEL)

Autothrust Mode: Speed/Mach

Lateral AP Mode: Localizer Capture or Track (MAN)

Autopilot 1 active Autopilot 2 active

High-lift devices in configuration FULL (4)

Landing gear extended

64 Pitch angle increases to 6 degrees

Type of Factor: Process

Date/Time: 03.05.2006 22:11:48

Actors involved: Lateral AP Mode: Localizer Capture or Track (MAN)

Autothrust Mode: Speed/Mach

Vertical AP Mode: Vertical Speed (SEL)

Autopilot 1 active Autopilot 2 active

High-lift devices in configuration FULL (4)

Landing gear extended

65 AP2 disengages

Type of Factor: Event

Date/Time: 03.05.2006 22:11:48

Actors involved: Lateral AP Mode: Localizer Capture or Track (MAN)

Vertical AP Mode: Vertical Speed (SEL)

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

66 AP lateral mode switches to HDG

Type of Factor: Event

Date/Time: 03.05.2006 22:11:48

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Vertical Speed (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: preset heading 62, equal to current heading

67 Rudder pedal is moved to -1.4 degrees

Type of Factor: Event

Date/Time: 03.05.2006 22:11:48

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Vertical Speed (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: A320 does not require rudder pedal inputs during normal flight

68 CRW set new heading 172 on FCU selector

Type of Factor: Event

Date/Time: 03.05.2006 22:11:52

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Vertical Speed (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

69 AC turns right

Type of Factor: Process

Date/Time: 03.05.2006 22:11:52

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Vertical Speed (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: max bank angle 25 degrees

constant altitude 1114ft

70 Autopilot moves rudder to +2.3 degrees

Type of Factor: Event

Date/Time: 03.05.2006 22:12:00

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Vertical Speed (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: Autopilot move rudder against pedal travel

Final controller repeats instructions for right climbing turn

71 Final controller repeats ins Type of Factor: Event

Date/Time: 03.05.2006 22:11:53

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Vertical Speed (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

72 CRW inadvertently select 3200ft target altitude

Type of Factor: Event

Date/Time: 03.05.2006 22:11:57

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Vertical Speed (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: Previously was, should have remained, and will be changed again to, 2048ft.

cf. MAK/BEA report, p42

73 CRW select OPEN CLIMB mode within 30s after pushing PTLO

Type of Factor: Event

Date/Time: 03.05.2006 22:11:58

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

74 AC begins to climbs rapidly

Type of Factor: Process

Date/Time: 03.05.2006 22:12:00

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Open Climb (SEL) Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: Vertical speed up to 12m/s

75 AC pitches up steeply

Type of Factor: Process

Date/Time: 03.05.2006 22:12:00

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Open Climb (SEL)
Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: up to 21 degress, at 10.7 degrees AoA

76 Engine thrust increases to climb thrust

Type of Factor: Process

Date/Time: 03.05.2006 22:12:00

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: Maximum power in normal flight.

77 High vertical acceleration

Type of Factor: Process

Date/Time: 03.05.2006 22:12:06

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: up to 1.27g

78 Speed decreases to 8kts below target speed

Type of Factor: Process

Date/Time: 03.05.2006 22:12:06

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: Target speed 137kts

80 AP may command higher vertical acceleration if OP CLB is activated shortly after PTLO

Type of Factor: State

Date/Time: Actors involved:

81

Annotation: Design decision **Aural Low Energy Warning sounds**

Type of Factor: Event

Date/Time: 03.05.2006 22:12:04

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Open Climb (SEL) Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: "SPEED SPEED SPEED!"

AP decreases pitch angle to increase speed

82 AP decreases pitch angle to increase speed

Type of Factor: Process

Date/Time: 03.05.2006 22:12:04

Actors involved: Vertical AP Mode: Vertical Speed (SEL)

Autothrust Mode: Speed/Mach

Lateral AP Mode: Heading/Track (SEL)

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

83 AP switches to speed hold in pitch channel

Type of Factor: Process

Date/Time: 03.05.2006 22:12:04

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Autothrust Mode: N1

High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: SRS mode, "Speed Reference System"

84 CRW select TOGA thrust

Type of Factor: Event

Date/Time: 03.05.2006 22:12:07 Actors involved: Autopilot 1 active

> Vertical AP Mode: Open Climb (SEL) High-lift devices in configuration FULL (4)

Landing gear extended

Lateral AP Mode: Heading/Track (SEL)

85 AP selects very high initial VS target for OP CLB mode if alt difference >1200ft

Type of Factor: State

Date/Time: Actors involved:

Annotation: After reaching 95% rpm of maximum (CLIMB) thrust:

Design decision

86 Identical FMA displays in both operating modes of OPEN CLIMB

Type of Factor: State

Date/Time: Actors involved:

87

Annotation: Design decision

AP activates GO-AROUND modes

Type of Factor: Event

Date/Time: 03.05.2006 22:12:07

Actors involved: Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Autothrust Mode: N1

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: TOGA thrust

A/THR inactive/armed

88 CRW disengage AP1

Type of Factor: Event

Date/Time: 03.05.2006 22:12:07 Actors involved: Flight Directors active

Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: From now on manual flying in FD mode

Bank angle 25 Pitch angle 21 AoA 10.2 RoC 11m/s

89 CRW did not expect AC behaviour they experienced

Type of Factor: Event

Date/Time: 03.05.2006 22:12:00

Actors involved: Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

Landing gear extended Autopilot 1 active

90 Lack of familiarity with AP modes and their logic

Type of Factor: State

Date/Time:

Actors involved: High-lift devices in configuration FULL (4)

91 Captain makes forward (nose-down) and left stick input

Type of Factor: Event

Date/Time: 03.05.2006 22:12:08

Actors involved: Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Flight Directors active

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

Landing gear extended

92 AC decreases pitch angle to 4 degrees

Type of Factor: Process

Date/Time: 03.05.2006 22:12:08

Actors involved: Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Flight Directors active

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

Landing gear extended

93 AC reduces bank angle to 7 degrees

Type of Factor: Process

Date/Time: 03.05.2006 22:12:08

Actors involved: Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Flight Directors active

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

Landing gear extended

94 IAS increases to 140 kts

Type of Factor: Process

Date/Time: 03.05.2006 22:12:08

Actors involved: Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Flight Directors active

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

Landing gear extended

95 CRW make a short -2.3 degrees pedal input

Type of Factor: Event

Date/Time: 03.05.2006 22:12:07

Actors involved: Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Flight Directors active

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: Aircraft does not require rudder pedal inputs in normal flight.

96 RoC decreases to 1-2 m/s

Type of Factor: Process

Date/Time: 03.05.2006 22:12:08

Actors involved: Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Flight Directors active

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

97 Captain makes -9 degress right sidestick input

Type of Factor: Event

Date/Time: 03.05.2006 22:12:19

Actors involved: Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Flight Directors active

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

Landing gear extended

98 AC perfoms stabilised right turn at 20 degrees bank angle

Type of Factor: Process

Date/Time: 03.05.2006 22:12:19

Actors involved: Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Flight Directors active

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: 20 degress is minimum bank angle for Sochi GA procedure

pitch angle 3 RoC 2.5m/s

99 FD pitch command bar on PFD at 8 degrees

Type of Factor: Process

Date/Time: 03.05.2006 22:12:19

Actors involved: Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Flight Directors active

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

Landing gear extended

100 CRW reduce target altitude to 2048ft

Type of Factor: Process

Date/Time: 03.05.2006 22:12:20

Actors involved: Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Flight Directors active

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: About equal to instructed altitude of 600m FD pitch command bar comes down from 8 to about 4 degrees

Type of Factor: Process

101

Date/Time: 03.05,2006 22:12:20

Actors involved: Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Flight Directors active

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

Landing gear extended

102 CRW move thrust levers to CLIMB position

Type of Factor: Event

Date/Time: 03.05.2006 22:12:24
Actors involved: Autothrust Mode: N1
Flight Directors active

Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

103 FMA displays "LVR CLB"

Type of Factor: Event

Date/Time: 03.05.2006 22:12:24 Actors involved: Autothrust Mode: N1

Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Flight Directors active

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

Landing gear extended

104 AC reaches default thrust reduction altitude and acceleration altitude

Type of Factor: Event

Date/Time: 03.05.2006 22:12:24 Actors involved: Autothrust Mode: N1

Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Flight Directors active

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: 1500ft + 40ft aerodrome elevation

FMGS sets OPEN CLIMB mode and target speed 202kts

Type of Factor: Event

105

Date/Time: 03.05.2006 22:12:24 Actors involved: Autothrust Mode: N1

Flight Directors active

Vertical AP Mode: Open Climb (SEL) Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

Landing gear extended

106 AC in stabilised climbing right turn

Type of Factor: Process

Date/Time: 03.05.2006 22:12:26

Actors involved: Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: IAS 163kts

bank angle 20 pitch angle 3 RoC 2.5m/s

107 Final controller advises CRW to call Sochi holding controller

Type of Factor: Event

Date/Time: 03.05.2006 22:12:28

Actors involved: Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

108 Captain makes forward and right stick inputs

Type of Factor: Event

Date/Time: 03.05.2006 22:12:30

Actors involved: Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

Lateral AP Mode: Go-Around Track (MAN) High-lift devices in configuration FULL (4)

Landing gear extended

109 AC decreases pitch to 1.4 degrees

Type of Factor: Process

Date/Time: 03.05.2006 22:12:30

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration FULL (4)

Landing gear extended

110 AC increases bank angle to 30 degrees

Type of Factor: Process

Date/Time: 03.05.2006 22:12:30

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration FULL (4)

Landing gear extended

111 Captain makes forward stick input

Type of Factor: Event

Date/Time: 03.05.2006 22:12:34

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration FULL (4)

Landing gear extended

112 AC reaches negative (nose-down) pitch angle

Type of Factor: Process

Date/Time: 03.05.2006 22:12:34

Actors involved: Vertical AP Mode: Open Climb (SEL)

Lateral AP Mode: Go-Around Track (MAN)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration FULL (4)

113 Somatogravic illusion of pitch up and attitude

Type of Factor: Assumption

Date/Time: 03.05.2006 22:12:24

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration FULL (4)

Landing gear extended

114 Misinterpretation of red bars on speed scale

Type of Factor: Assumption

Date/Time: 03.05.2006 22:12:28

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration FULL (4)

Landing gear extended

115 Captain orders F/O to retract flaps

Type of Factor: Event

Date/Time: 03.05.2006 22:12:37

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active Landing gear extended

High-lift devices in configuration FULL (4)

116 AC accelerates nose-down at maximum (CLIMB) thrust

Type of Factor: Process

Date/Time: 03.05.2006 22:12:34

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 3

Landing gear extended

117 CRW did not turn off FD

Type of Factor: UnEvent

Date/Time: 03.05.2006 22:12:34

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 3

Landing gear extended

Annotation: MAK report maintains that turning off FD would be required by FCOM. It does not mention

where in FCOM, nor does it mention specifically under which conditions it should be turned

OIT.

Turning off the FDs would have set A/THR to V/M for 202kts (GREEN DOT speed)

119 Flaps start retracting to CONF 2

Type of Factor: Process

Date/Time: 03.05.2006 22:12:37

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 2

Landing gear extended

120 MASTER WARNING / CRC warning for overspeed activates

Type of Factor: Process

Date/Time: 03.05.2006 22:12:41

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 2

Landing gear extended

Annotation: Exceeding of allowed maximum speed for configuration

altitude 1626ft, IAS 186kts pitch angle -5 bank angle +33

121 Flaps start retracting to CONF 1

Type of Factor: Process

Date/Time: 03.05.2006 22:12:45

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 1

Landing gear extended

Annotation: Due to high IAS, flaps are retracted completely, only slats stay extended

122 Captain makes forward and right stick input

Type of Factor: Event

Date/Time: 03.05.2006 22:12:45

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 1

Landing gear extended

123 AC decreases pitch angle further

Type of Factor: Process

Date/Time: 03.05.2006 22:12:45

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 1

Landing gear extended

Annotation: IAS >210kts

124 EGPWS visual warning appears and aural warning sounds

Type of Factor: Process

Date/Time: 03.05.2006 22:12:47

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 1

Landing gear extended

Annotation: alt 1358ft

IAS 211kts pitch angle -12 RoD 21m/s bank angle 38

125 DUAL INPUT aural warning inhibited by EGPWS aural warning and does not sound

Type of Factor: Event

Date/Time: 03.05.2006 22:12:47

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 1

Landing gear extended

126 F/O (PM) makes full left stick input and "parasitic" forward input

Type of Factor: Process

Date/Time: 03.05.2006 22:12:47

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1
Flight Directors active

High-lift devices in configuration 1

Landing gear extended

Annotation: Presumably to counter Captain's right stick input.

Supported by F/O remarks on CVR: "Wings level!"

127 F/O (PM) does not press Takeover button

Type of Factor: UnEvent

Date/Time: 03.05.2006 22:12:47

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 1

Landing gear extended

128 F/O (PM) does not announce his making sidestick inputs

Type of Factor: UnEvent

Date/Time: 03.05.2006 22:12:47

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 1

Landing gear extended

129 Captain makes right stick input

Type of Factor: Process

Date/Time: 03.05.2006 22:12:47

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 1

Landing gear extended

130 Captain fails to make and maintain full backstick input in reaction to EGPWS warning

Type of Factor: UnEvent

Date/Time: 03.05.2006 22:12:48

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 1

Landing gear extended

Annotation: Captain only makes two half-backstick inputs.

Full input required by Emergency Procedure, FCOM 3.02.34, P15

131 Captain experiences unexplained AC reaction inconsistent with his stick inputs

Type of Factor: Process

Date/Time: 03.05.2006 22:12:48

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 1

Landing gear extended

132 F/O fails to announce low pitch angle

Type of Factor: UnEvent

Date/Time: 03.05.2006 22:12:08

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 1

Landing gear extended

Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

133 F/O fails to announce descent rate

Type of Factor: UnEvent

Date/Time: 03.05.2006 22:12:34

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 1

Landing gear extended

Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

134 Captain fails to react appropriately to EGPWS warning

Type of Factor: UnEvent

Date/Time: 03.05.2006 22:12:47

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 1

Landing gear extended

135 F/O (PM) fails to react appropriately to EGPWS warning

Type of Factor: UnEvent

Date/Time: 03.05.2006 22:12:47

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 1

Landing gear extended

136 Controller advises about new approach procedure

Type of Factor: Process

Date/Time: 03.05.2006 22:12:40

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 1

Landing gear extended

137 AP/FD vertical mode change to VS

Type of Factor: Event

Date/Time: 03.05.2006 22:12:49

Actors involved: Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

Lateral AP Mode: Go-Around Track (MAN)

High-lift devices in configuration 1

Landing gear extended

Annotation: target speed 25.4m/s

A/THR mode ->V/M

138 A/THR system reduces thrust to prevent overspeed

Type of Factor: Event

Date/Time: 03.05.2006 22:12:49

Actors involved: Vertical AP Mode: Open Climb (SEL)

Flight Directors active

Autothrust Mode: Speed/Mach

Lateral AP Mode: Go-Around Track (MAN)

High-lift devices in configuration 1

Landing gear extended

139 CRW move thrust levers to idle and back to CL

Type of Factor: Event

Date/Time: 03.05.2006 22:12:51

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Flight Directors active

Autothrust Mode: Speed/Mach High-lift devices in configuration 1

Landing gear extended

140 A/THR disengages

Type of Factor: Event

Date/Time: 03.05.2006 22:12:51

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Flight Directors active

High-lift devices in configuration 1

Landing gear extended

141 AC descent rate increases further

Type of Factor: Process

Date/Time: 03.05.2006 22:12:45

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Flight Directors active

High-lift devices in configuration 1

Landing gear extended

Annotation: alt 933ft

RoD now 29m/s

142 High-lift devices retract to CONF 0

Type of Factor: Process

Date/Time: 03.05.2006 22:13:01

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Flight Directors active

High-lift devices completely retracted

Landing gear extended

143 -6 degrees pedal input

Type of Factor: Event

Date/Time: 03.05.2006 22:13:01

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Flight Directors active

High-lift devices completely retracted

Landing gear extended

144 AC bank angle reduces

Type of Factor: Process

Date/Time: 03.05.2006 22:13:01

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Flight Directors active

High-lift devices completely retracted

Landing gear extended

Annotation: RoD -22m/s,

pitch angle -7, bank angle ~0.

145 AC hits water surface

Type of Factor: Event

Date/Time: 03.05.2006 22:13:03

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Flight Directors active

High-lift devices completely retracted

Landing gear extended

Annotation: RoD -22 m/s

pitch angle -4.5 bank angle 9.5

slats still moving at 18 degrees

LG extended

146 Captain unaware of F/O sidestick inputs

Type of Factor: Assumption

Date/Time: 03.05.2006 22:12:47

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 1

Landing gear extended

147 AP commands high vertical acceleration

Type of Factor: Event

Date/Time: 03.05.2006 22:12:00

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Autopilot 1 active Landing gear extended

High-lift devices in configuration FULL (4)

148 AP selects initial VS target of 40m/s

Type of Factor: Event

Date/Time: 03.05.2006 22:12:00

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1
Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

149 AC trajectory becomes unrecoverable

Type of Factor: Event

Date/Time: 03.05.2006 22:12:58

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Flight Directors active

High-lift devices completely retracted

Landing gear extended

150 AC is on descending trajectory at low altitude

Type of Factor: Event

Date/Time: 03.05.2006 22:12:34

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Flight Directors active

High-lift devices in configuration FULL (4)

Landing gear extended Autothrust Mode: N1

Annotation: ALT: 1358ft,

V/S: 21 m/s,

pitch angle: -12 degree1.5

151 Captain (PF) fails to set "TOGA" thrust in reaction to EGPWS warning

Type of Factor: UnEvent

Date/Time: 03.05.2006 22:12:48

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Flight Directors active Landing gear extended

High-lift devices in configuration 1

Annotation: Required by Emergency Procedure, FCOM 3.02.34, P15.

The Emergency procedure for reaction to EGPWS warnings is a memory item, that should

be performed without delay and without referring to paper

152 F/O (PM) fails to call-out Captain's omission of full back-stick in reaction to EGPWS warning

Type of Factor: UnEvent

Date/Time: 03.05.2006 22:12:49

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Flight Directors active Landing gear extended

High-lift devices in configuration 1

Annotation: Not explicitly in FCOM.

Found in informal document at airbusdriver.net

153 F/O (PM) fails to call-out RA and RA trend in reaction to EGPWS warning

Type of Factor: UnEvent

Date/Time: 03.05.2006 22:12:50

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Flight Directors active
Landing gear extended
Autothrust Mode: Speed/Mach
High-lift devices in configuration 1

Not explicitly in FCOM.

Found in informal document at airbusdriver.net

154 Optical (instrument) illusion of pitching up

Type of Factor: Assumption

Annotation:

Date/Time: 03.05.2006 22:12:20

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Flight Directors active Autothrust Mode: N1 Landing gear extended

155 FMGC sets N1 ("Thrust") mode for A/THR at maximum (CLIMB) thrust

Type of Factor: Event

Date/Time: 03.05.2006 22:12:24

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active Landing gear extended

High-lift devices in configuration FULL (4)

156 Preset vertical speed becomes 25.4m/s

Type of Factor: Event

Date/Time: 03.05.2006 22:12:49

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Flight Directors active

Autothrust Mode: Speed/Mach

Landing gear extended

High-lift devices in configuration 1

157 A/THR mode changes to speed hold (V/M)

Type of Factor: Event

Date/Time: 03.05.2006 22:12:49

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: Speed/Mach

Flight Directors active Landing gear extended

High-lift devices in configuration 1

158 IAS reaches VFE + 4kts

Type of Factor: Process

Date/Time: 03.05.2006 22:12:49

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1
Flight Directors active
Landing gear extended

High-lift devices in configuration 1

159 FD command bars disagree with manual control

Type of Factor: Process

Date/Time: 03.05.2006 22:12:19

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Flight Directors active Autothrust Mode: N1

High-lift devices in configuration FULL (4)

Landing gear extended

Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

160 CRW continue flying manually with FDs active

Type of Factor: Process

Date/Time: 03.05.2006 22:12:07

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration FULL (4)

Landing gear extended

161 CRW have doubts about AP functioning correctly

Type of Factor: Assumption

Date/Time: 03.05.2006 22:12:07

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

Annotation: Assumption from Crew behaviour, see MAK/BEA report, p

163 Current altitude is ca. 1280ft

Type of Factor: Process

Date/Time: 03.05.2006 22:11:57

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Vertical Speed (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

164 Difference to target altitude is >1200ft

Type of Factor: Process

Date/Time: 03.05.2006 22:11:57

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

Vertical AP Mode: Vertical Speed (SEL)
High-lift devices in configuration FULL (4)

Landing gear extended

SOP requires F/O to call out omissions of Cpatain's actions to EGPWS reactions

Type of Factor: State

Date/Time:
Actors involved:

Annotation: Only found in inofficial callout-file from airbusdriver.net

166 SOP requires Captain to set TOGA set

Type of Factor: State

Date/Time: Actors involved:

Annotation: FCOM 3.02.34, P15

167 EGPWS triggered

Type of Factor: Event

Date/Time: 03.05.2006 22:12:47

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 1

Landing gear extended

Annotation: As per design at RA 1358ft, V/S: -21 m/s (4130 ft/min).

FCOM 1.34.70, P3

168 AC reaches VFE for CONF FULL

Type of Factor: Event

Date/Time: 03.05.2006 22:12:37

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 2

Landing gear extended

170 FCOM recommends FD disengagement if not following FD

Type of Factor: State

Date/Time:
Actors involved:

Annotation: Where in the FCOM?

171 A/THR remains in N1 mode at full climb thrust

Type of Factor: Process

Date/Time: 03.05.2006 22:12:34

Actors involved: High-lift devices in configuration FULL (4)

Landing gear extended

Lateral AP Mode: Go-Around Track (MAN) Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

Annotation: Turning FD off would have put A/THR in speed hold mode for 202kts

172 CRW did not follow FD indications

Type of Factor: UnEvent

Date/Time: 03.05.2006 22:12:07

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

173 SOP requires use of Takeover button and Call-out if using Sidestick as PNF

Type of Factor: State

Date/Time: Actors involved:

Annotation: Where in FCOM?

174 Captain (PF) does not observe flashing sidestick priority light on glareshield

Type of Factor: Assumption

Date/Time: 03.05.2006 22:12:47

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration 1

Landing gear extended

Annotation: Sidestick Input Indicators on Glareshield:

- Individual lights on Captain's and F/O's side

- Red: repesctive side is inactive, other side has priority (RED indicates: DON'T MAKE

INPUTS!)

- Green steady: respective side has priority (STEADY GREEN indicates: I can make

unimpaired inputs)

- Green flashing: both sides are making inputs, neither has priority (GREEN indicates: I can

make inputs, Flashing indicates: CAUTION!)

- Both off: No pilot or only one pilot making inputs without explicit priority

(FCOM 1.27.40, P4f)

175 Captain fails to call for FLAPS retraction during Go-Around manoeuvre

Type of Factor: UnEvent

Date/Time: 03.05.2006 22:11:50

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Vertical Speed (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

176 SOP requires PF to make and maintain full backstick deflection

Type of Factor: State

Date/Time: Actors involved:

Annotation: FCOM 3.02.34, P15

177 EGPWS aural warning has priority over DUAL INPUT aural warning

Type of Factor: State

Date/Time: Actors involved:

Annotation: Design decision, not mentioned in FCOM.

178 Captain (PF) unaware of rapid acceleration

Type of Factor: Assumption

Date/Time: 03.05.2006 22:12:24

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration FULL (4)

Landing gear extended

179 Crew selects OPEN CLIMB

Type of Factor: Event

Date/Time: 03.05.2006 22:11:58

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Open Climb (SEL)

Autothrust Mode: Speed/Mach

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

180 Flight director relative motion

Type of Factor: Event

Date/Time: 03.05.2006 22:12:20

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Autothrust Mode: N1 Flight Directors active

High-lift devices in configuration FULL (4)

Landing gear extended

181 FD pitch command bar indicates 4 degrees pitch-up angle

Type of Factor: Process

Date/Time: 03.05.2006 22:12:20

Actors involved:

182 DUAL INPUT aural warning inhibition by EGPWS aural warning is not mentioned in FCOM

Type of Factor: State

Date/Time: Actors involved:

Annotation: FCOM states that DUAL input warning is not inhibited in any specific flight phase. (FCOM

1.27.40, P12)

Although this does not mean it cannot be inhibited in a certain system state, independent of

flight phase, the absence of any indication about inhibition may be significant.

183 Captain relies on DUAL INPUT aural warning to alert him to cross-controlling

Type of Factor: Assumption

Date/Time: Actors involved:

184 F/O wants wings level

Type of Factor: Event

Date/Time: 03.05,2006 22:12:47

Actors involved:

Annotation: F/O announces "Wings Level" on CVR

185 AP switches to lateral mode "Go-Around Track"

Type of Factor: Event

Date/Time: 03.05.2006 22:12:07

Actors involved: Lateral AP Mode: Go-Around Track (MAN)

Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Autothrust Mode: N1 Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended

186 Target speed is 137kts

Type of Factor: Process

Date/Time: 03.05.2006 22:11:58

Actors involved: Lateral AP Mode: Heading/Track (SEL)

Vertical AP Mode: Open Climb (SEL)

Autopilot 1 active

High-lift devices in configuration FULL (4)

Landing gear extended
Autothrust Mode: Speed/Mach

187 Autopilot tries to follow preset go-around track

Type of Factor: Assumption

Date/Time: 03.05.2006 22:12:07

Actors involved:

188 Dual input situation

Type of Factor: Event

Date/Time: 03.05.2006 22:12:47

Actors involved:

189 Dual input visual warning appears (flashing light on glareshield)

Type of Factor: Event

Date/Time: 03.05.2006 22:12:47

Actors involved:

190 Captain does not get tactile feedback about F/O's sidestick inputs

Type of Factor: UnEvent

Date/Time: 03.05.2006 22:12:47

Actors involved:

191 Sidesticks of Airbus A320 do not provide force-feedback of other pilot's inputs

Type of Factor: State

Date/Time: Actors involved:

192 Contradictory autopilot commands within a short time frame

Type of Factor: Event

Date/Time: 03.05.2006 22:12:04

Actors involved:

193 FCU uses the same knob for altitude selection and AP mode engagement

Type of Factor: State

Date/Time: Actors involved:

Annotation: Design decision

Actor List

Lat: NAV Lateral AP Mode: NAV or APP NAV (MAN)

Lat: LOC Lateral AP Mode: Localizer Capture or Track (MAN)

Lat: GA TRK Lateral AP Mode: Go-Around Track (MAN)
Lat: HDG-TRK Lateral AP Mode: Heading/Track (SEL)

Vert: SRS Vertical AP Mode: Speed Reference System (T.O and G.A.) (MAN)

Vert: CLB Vertical AP Mode: CLIMB (MAN)
Vert: DES Vertical AP Mode: Descent (MAN)

Vert: SOFT ALT Vertical AP Mode: Altitude Cruise (MAN)

Vert: G/S Vertical AP Mode: Glideslope Capture or Track (MAN)

Vert: OP CLB Vertical AP Mode: Open Climb (SEL)
Vert: OP DES Vertical AP Mode: Open Descent (SEL)
Vert: V/S Vertical AP Mode: Vertical Speed (SEL)

A/THR: V/M Autothrust Mode: Speed/Mach

A/THR: THR Autothrust Mode: N1
AP1 Autopilot 1 active
AP2 Autopilot 2 active
FD Flight Directors active

FLAPS 0 High-lift devices completely retracted FLAPS 1 High-lift devices in configuration 1 FLAPS 2 High-lift devices in configuration 2 FLAPS 3 High-lift devices in configuration 3

FLAPS FULL High-lift devices in configuration FULL (4)

L/G Landing gear extended

Appendix: MAK Report, Translation of Appendices

Translation by Jörn and Natalia Stuphorn

MAK Report, Appendix 1

Trajectory of aircraft A320 EK-32009, met with an accident on 3 May 2006 in the Sochi area.

Notes: The phrase "dobroj notschi" translated literally means "Good night" but is used for greeting. All times UTC.

20:59:51 21:00:07 21:16:07	Crew: Crew: Controller:	Control - Armavia 967 - we reach traverse Tunis 300. Tblisi Control - RNV-967 - Good night 2000er - Traverse "Tunis" at 340° RNV-967, well, Weather forecasts for the next 2 hours: 150 to 1500
21:16:17	Crew:	Roger, 150, 967.
21:16:57	Controller:	State your intention RNV-967
21:17:00	Crew:	Now, what is our intention - we revert to Erevan
21:26:37	Crew:	Sochi "Подход" (Approach controller) - Armavia 967 - is it possible to make a factual gauging?
21:30:49	Controller:	Armavia 967 - Visibility 3600 - cloud base 170 within 30 minutes. Weather at the lower limit but improving.
21:31:14	Crew:	967 - we revert again, this means we fly in direction of Sochi.
21:58:29	Crew:	Rostov Control - Armavia 967 - Good Night, Banut, Heading 220
22:00:44	Crew:	Sochi "Подход" (Approach)- Armavia 967 - Good Night again - On GUKIN descending to 3600
22:03:29	Crew:	Sochi "Kpyr" (Holding controller) - Armavia 967 - Good Night - On GUKIN descending to 1800 - We have information for landing - Pressure 1016
22:03:53	Crew:	Pressure 1016, descending to 600 meters, We make 4° - Armavia 967
22:09:50	Crew:	Sochi "Посадка" (Landing controller) - Armavia 967 - Good Night, Direct approach, runway 06.
22:10:53	Controller:	Armavia 967 - reduce 10 on course, visibility 4000 fog - effective cloud base 190 meters - cleared to land.

MAK Report, Appendix 2 Flight parameters of aircraft A-320 EK-32009, 3 May 2006 (complete flight)

1.	Нзад	blue	selected altitude
2.	Нб	red	barometrical altitude
3.		black	Descent
4.		lgtblue	Vertical speed mode
5.		blue	Altitude mode
6.	Vзад	black	selected velocity
7.	Vпр	blue	displayed velocity
8.		blue	Autopilot #2 active
9.		red	Autopilot #1 active
10.	РУД1	red	Position Thrustlever Engine #1
11.	РУД2	blue	Position Thrustlever Engine #2
12.	Ивд1	blue	N2 (rpm highpressure) Engine #1
13.	Ивд2	black	N2 (rpm highpressure) Engine #2
14.		red	AT engaged
15.		blue	AT active
16.		lgt-blue	AT Mode V/M
17.		blue	AT Mode N1
18.	Танг	black	Angle of Pitch
19.	Крен	red	Angle of Roll
20.		black	LOC track mode active
21.		blue	Flight on glideslope
22.	Курс	red	gyromagnetic heading
23.	Курс.зад	black	selected heading
24.	Vузад	purple	selected vertical velocity
25.		black	HDG mode active
26.		blue	NAV mode active
27.		blue	Landing gear in retracted position
28.		lgt-blue	Landing gear in extended position
29.	d3K	red	Position Flaps

MAK Report, Appendix 3 Flight parameters of aircraft A-320 EK-32009, 3 May 2006 (complete flight)

lgtblue	barometrical altitude
purple	Altitude mode
red	[] speed mode
blue	Climb
lgtblue	Altitude settings
black	Pitch take off
blue	Decrease
blue	LOC capture mode
purple	Approach
red	selected altitude
blue	displayed velocity
red	selected velocity
red	Auto speed control
red	selected vertical velocity
lgtblue	Autopilot #2 active
blue	Autopilot #1 active
red	selected heading
red	HDG mode active
black	NAV mode active
blue	AT Mode V/M
red	AT Mode N1
	purple red blue lgtblue black blue blue purple red red red lgtblue blue red red blue

MAK Report, Appendix 4 Flight parameters of aircraft A-320 EK-32009, 3 May 2006 (complete flight)

13. 14. 15. 16. 17. 18. 19. 20.	РВ.л РВ.пр РУД1 РУД2 ИВД1 ИВД2 Крен РУСкр л РУСкр пр Танг РУСтг л РУСтг пр Курс	red black	Flight on glideslope Altitude settings vertical speed mode Autopilot #1 active Autopilot #2 active Position Elevator left (PF) Position Elevator right (F/O) AT active AT engaged AT Mode N1 AT Mode V/M Position Thrustlever Engine #1 Position Thrustlever Engine #2 N2 (rpm highpressure) Engine #1 N2 (rpm highpressure) Engine #2 Angle of Roll Steering-Stick left - Position Roll Steering-Stick right - Position Roll Angle of Pitch Steering-Stick right - Position Pitch
25. 26.	Нг Хзк-пк	red red purple	geometrical altitude EGPWS triggered Position Flaps-Lever
28.	Vпр	purple	displayed velocity

Note: CVR transcript not translated because of bad readability and redundancy with App. 9 and 10

MAK Report, Appendix 5 Flight parameters of aircraft A-320 EK-32009, 3 May 2006

1.	lgtblue	not readable
2.	blue	Landing Gear Selector in position "Landing gear extended"
3.	blue	AT active
4.	blue	AT engaged
5.	purple	AT Mode V/M
6.	blue	AT Mode N1
7.	lgtblue	Autopilot #2 active
8.	blue	Autopilot #1 active
9.	red	EGPWS triggered
10.	red	not readable
11.	red	Central Fire Alarm (ЦСО)
12.	red	Central Fire Alarm (ЦСО) left
13.	blue	Working Mode FMGC Longitudinal Channel
14.	blue	AP Mode vertical
15.	black	AP Mode horizontal
16. d∏K	blue	Position Slats
17. Хзк-пк	purple	Position Flaps-Lever
18. Стаб	blue	Position Stabiliser
19. Vпр	red	displayed velocity
20. Vзад	red	selected velocity
21. Нг	blue	geometrical altitude
22. Нзад	blue	selected altitude
23. Нб	black	barometrical altitude
24. Vузад	black	selected vertical velocity
25. Vy	blue	calculated vertical velocity

MAK Report, Appendix 6 Flight parameters of aircraft A-320 EK-32009, 3 May 2006

1		lgtblue	Altitude settings
2		blue	vertical speed mode
3	s. РУД1	black	Position Thrustlever Engine #1
4	. РУД2	blue	Position Thrustlever Engine #2
1		lgtblue	HDG mode active
5	5. Курс	blue	gyromagnetical heading
6	s. Vý	blue	calculated vertical velocity
7	'. Крен	red	Angle of Roll
8	. РУСкп л	blue	Steering-Stick left - Position Roll
ξ	. РУСкп пр	black	Steering-Stick right - Position Roll
1	0. Танг	red	Angle of Pitch
	1.	blue	Autopilot #1 active
	2.	blue	Autopilot #2 active
	3. РУСтг л	purple	Steering-Stick left - Position Pitch
1	4. РУСтг пр	blue	Steering-Stick right - Position Pitch
	5. Нг	red	geometrical altitude
1	6.	blue	Central Fire Alarm (ЦСО) left
	7.	blue	Flight on Glideslope
	8. Vпр	black	displayed velocity
1	9.	red	EGPWS triggered
	.0.		ENGINE PAGE SELECTED
	.1.		WHEEL PAGE SELECTED
	2.		CRUISE PAGE SELECTED
	3.		ARC MODE CAPT
	.4.		20 NM CAPT
	25.		ARC MODE F/O
	:6.		10 NM F/O
	27.		CPT RADAR/EGPWS OPERATING MODE: W/S AND TURBULENCE
2	.8.		F/O RADAR/EGPWS OPERATING MODE: W/S AND TURBULENCE

MAK Report, Appendix 7 Flight parameters of aircraft A-320 EK-32009, 3 May 2006

1.	Нг	purple	geometrical altitude
2.		lgtblue	Altitude settings
3.		blue	vertical speed mode
4.	Танг	blue	Angle of Pitch
5.	Alf м1	blue	local Angle of Attack +1
6.	Alf ист1	red	real Angle of Attack 1
7.	РВ.пр	blue	Position Elevator right (F/O)
8.	РВ.л	black	Position Elevator left (PF)
9.		blue	Autopilot #1 active
10.		lgtblue	Autopilot #2 active
29.	РУСтг	purple	effective Steering-Stick Position Pitch
30.		blue	Flight on glideslope
	РУСтг л	blue	Steering-Stick left Position Pitch
32.	РУСтг пр	lgtblue	Steering-Stick right Position Pitch
33.	Nx	lgtblue	longitudinal Acceleration Force
34.	Ny	red	vertical Acceleration Force
35.	Vпр	red	displayed velocity
36.		red	EGPWS triggerd
37.	GLS1	blue	Glideslope Dev ILS1
38.	GLS2	blue	Glideslope Dev ILS2
39.	Бг	purple	vertical deviation from glideslope

MAK Report, Appendix 8 Flight parameters of aircraft A-320 EK-32009,3 May 2006

1. E	Ξκ	black	heading deviation from glideslope
2. B	Зетер V	blue	Windspeed
3. C	Снос	black	Angle of Dislocation DISS (Doppler measurement system in nose)
4. B	Ветер FI	blue	Angle of Wind
5. N	۱z	blue	lateral Acceleration Force
6. d	дЭ пр	blue	Deviation right Aileron
7. d	'n Сt	blue	Deviation left Aileron
8. P	РУСкр	plack	effective Steering-Stick - Position Roll
9. P	РУСкр л	lgtblue	Steering-Stick left - Position Roll
10. P	РУСкр пр	black	Steering-Stick right - Position Roll
11. d	JPH .	lgtblue	Deviation Rudder of direction
12. X	Кн	blue	Pedal Position
13.		blue	Autopilot #1 active
14.		lgtblue	Autopilot #2 active
15. H	Нг	blue	geometrical altitude
16.		blue	Flight on glideslope
17. K	(урс зад	red	selected heading
18. K	(урс	red	gyromagnetic heading
19. K	(рен	lgtblue	Angle of Roll
20. T	ГрРН	blue	Trimposition Rudder of direction

MAK Report, Appendix 9 Trajectory of aircraft A320 EK-32009, Approach to Sochi, 3 May 2006

22:00:46	П2 (F/O)	Sochi "Подход" (approach control), Armavia 967, Good night again. On GUKIN descend to 3600.
22:00:53	Д (Control)	Armavia 967, Sochi "Подход" (approach control), Good night. Continue with descend on GUKIN 1800, magnetic 190, distance 43.
22:01:38	Д (Control)	Runway 06 (ΒΠΠ-06), wind 130 degrees, 2 m/s, visibility 4km, main cloud base 1800, pressure "seven six two" or "one zero one six" hPa. Weak rainstorm, fog, compact cumulus rainclouds visual at 800, plus 11, duepoint plus 11. Montains partially covered.
22:02:12	KBC (PF)	Thank you, 967, and RP (PΠ) transfer to the commander we shall start our approach.
22:02:19	Д (Control)	Armavia 967, we see you passing TABAN. If possible increase descend or you will miss 4th (final approach trajectory.
22:02:30	Π2 (F/O)	Roger 967, Thank you.
22:03:13	Д (Control)	Armavia 967, magnetic 220, distance 43, contact "Krug" (holding control), 119.7
22:03:29	Π2 (F/O)	Sochi "Kpyr" (holding control), Armavia 967, Good night, on GUKIN descending 1800, we have landing information, pressure 1016.
22:03:41	Д (Control)	Armavia 976 (possible typo in report, 967) Sochi "Kpyr" (holding control), Good night, magnetic 227, distance 45, landing direction 60, pressure 1016, descend to 600 meters, begin 4th (final approach).
22:03:54	П2 (F/O)	Pressure 1016, Descending to 600m, begin final approach, Armavia 967
22:04:18	KBČ (PF)	Switch on landing lights.
22:04:32	Π2 (F/O)	Is this snow or rain?
22:05:48	KBČ (PF)	Fixed locator, understood?!
22:05:50	Д (Control)	Armavia 967: You are left from landing approach, to reach the approach you can take 090.
22:05:57	Π2 (F/O)	Armavia 967, take heading 090, thank you.
22:06:32	Π2 (F/O)	Armavia 967, Approach on Echolon, Pressure 1016, Descending to 600m on final.
22:06:39	Д (Control)	Armavia 967, Roger, proceed with approach.
22:07:35	Д (Control)	Armavia 967, Distances: lower cloud base 160m, visibility 4000, proceed 600m without descend.
22:07:47	П2 (F/O)	Without descend 600m, Armavia 967
22:09:33	Д (Control)	RNV-967: Contact "Поцадкой" (landing control), 4000 to 190, 121.2

MAK Report, Appendix 10 Trajectory of aircraft A320 EK-32009, Approach to Sochi, 3 May 2006

22:07:35	Д (Control)	Armavia 967, Distances: lower cloud base 160m, visibility 4000, proceed 600m without descend.
22:07:47	Π2 (F/O)	Without descend 600m, Armavia 967
22:07:49	KBC (PF)	It's a madhouse, that's what it is.
	KBC (PF)	(unintelligible) LOC STAR
	П2 (F/O)	MDA CHECK
	KBC (PF)	SET
		ENGINE MOD SELECTOR
	' '	NORMAL APPROACH CHECK LIST COMPLETED
22:08:02	Π2 (F/O)	
	' '	Ara (Vorname F/O), shall we say, that it suits us? Landing, Grischa (Vorname PF)?
		How, suits us? It is below the minimum.
	Π2 (F/O)	(unintelligible) 10m.
22:08:31	KBC (PF)	Let's deploy FLAPS 1 (unintelligible)
22:08:34	П2 (F/O)	SPEED CHECK FLAPS 1
22:08:39	П2 (F/O)	CLEAR STATUS?
22:08:40	KBC (PF)	CLEAR
22:09:15	KBC (PF)	make FLAPS 2
22:09:16	Π2 (F/O)	SPEED CHECK FLAPS 2
22:09:31	П2 (F/Ó)	9 and 1 on ILS
	Д (Control)	RNV967, contact "Посадка" (landing control), 4000 to 190, 121.2
22:09:39	Π2 (F/O)	121.2, 967, Thank you.
22:09:44	KBC (PF)	Go, GEAR DOWN.
22:09:48	П2 (F/O)	GEAR DOWN, APROACH pressed?
	П2 (F/O)	Sochi "Посадка" (landing control), Armavia 967, Good Night. direct on course 06
22.03.02	112 (170)	Coom Trougha (landing control), filliavia 507, Cood Hight. direct on codise of

22:09:59 Д (Control)	Good Night Armavia 967, Sochi "Посадка", distance 14, on course, CU (See You?)
	13 km, come to the glideslope.
22:10:12 KBC (PF)	Have you simply understood him? We have come to landing approach, have lost
00:40:40	time, he has given us unce again 190. He tries to squirm out of his failure.
22:10:19 Π2 (F/O)	But I don't understand, what he will gain here (unintelligible)
22:10:23 KBC (PF)	Sometimes you win [sometimes you loose is left out] what bullshit
00:40:00	(unintelligible) at times 190 at times 160, understand, what I say.
22:10:28 Π2 (F/O)	He only gets, that we get a coronary.
22:10:23 KBC (PF)	Now it is below the minimum. A word, something will happen and 150 will be
22:40:28	measured. GLIDE SLOPE STAR
22:10:38 Π2 (F/O)	
22:10:46 П2 (F/O) 22:10:54 Д (Control)	Armavia 967 - eh - on glideslope, chassis extended, ready for landing. Armavia 967, distance 10, on course, visibility 4000, fog, compact clouds 190m
22.10.54 A (Control)	Landing permission granted
22:11:03 П2 (F/O)	Landing on runway 06 permitted, Armavia 967
22:11:07 KBC (PF)	make FLAPS 3
22:11:08 П2 (F/O)	SPEED CHECK FLAPS 3
22:11:11 Π2 (F/O)	Give a little bit, sitting almost on VLS
22:11:20 KBC (PF)	and FLAPS FULL
22:11:21 П2 (F/O)	SPEED CHECK FLAPS FULL
22:11:25 KBČ (PF)	go, LANDING CHECK LIST
22:11:31 П2 (F/O)	CABIN CREW
22:11:32 П2 (F/O)	ATHR
22:11:34 П2 (F/O)	BRAKES
22:11:36 KBC (PF)	REALISED FOUR GREEN
22:11:37 П2 (F/O)	ECAM MEMO
22:11:39 KBC (PF)	LANDING NO BLUE
22:11:40 Д (Control)	RNV967, abort descend, clouds 100m, right turn to 600m.
22:11:49 Π2 (F/O) 22:11:52 Sound	Making right turn to 600m, 967 TRIPLE CLICK
22:11:53 Д (Control)	RNV967, Right turn to 600m, contact "Kpyr" (holding control), 119.7
22:11:00 П2 (F/O)	Right climb to 600, 119.7, 967
22:12:04 Sound	SPEED, SPEED
22:12:08 Sound	Autopilot disengaged
22:12:15 KBC (PF)	600 gauge the altitude
22:12:19 П2 (F/O)́	But what, isn't it 600?
22:12:20 KBC (PF)	Gauge 600
22:12:24 П2 (F/O)	2100
22:12:27 П2 (F/O)	Enough, enough
22:12:28 Д (Control)	Arm, Ārmavia 967, contact "Kpyr" (holding control), 119.7
22:12:32 П2 (F/O)	967, Roger
22:12:36 Π2 (F/O)	Sochi "Kpyr", Armavia 967
22:12:37 Э (Crew)	FLAPS
22:12:40 Д (Control)	Armavia 967, Sochi "Kpyr", after exit on 2. circle, take heading 210°, take 600m
	and follow 600m. Prepare for landing on runway 02. There lower cloud base 460,
22:12:41 Sound	360m, visibility above 4-x (km) OVERSPEED WARNING
22:12:41 Sound 22:12:47 Π2 (F/O)	Level off
22:12:48 Sound	PULL UP (repeated 10 times)
22:12:50 Π2 (F/O)	Level off
22:12:55 Π2 (F/O)	Level off the roll
22:12:56 Э (Crew)	What's happening?
22:12:58 3 (Crew)	(unintelligible)
22:12:59 П2 (F/O)	Grischa, Brother! The Slats! Level off the roll!